

Success Trade Securities, Inc.
SIPC Statement

Success Trade Securities, Inc. is a member of the Securities Investor Protection Corporation (“SIPC”), which currently protects securities customers of its members up to \$500,000 (including \$100,000 for claims for cash).

Additionally, our customer securities accounts are carried by Legent Clearing Corp. Ltd., who has also acquired “Excess SIPC” insurance from a third party insurer to protect client accounts up to their net equity for loss of securities and cash held at Legent Clearing Corp., up to an overall firm aggregate of US \$200,000,000 over all customer accounts.

This “Excess SIPC” protection is in addition to the protection provided by the Securities and Investors Protection Act, which is administered by SIPC, and is subject to certain conditions and limitations, details of which are available upon request. The above coverage does not protect against loss of the market value of securities.

SIPC and the supplemental policy do not cover commodity contracts and options on futures. An explanatory brochure is available upon request or at <http://www.sipc.org>.

Or you may contact SIPC direct at:

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