

## SEC Rule 606 - Order Routing Report

### Just2Trade

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## SEC-Required Report on Routing of Customers Orders

### For Quarter Ending September 30, 2009

Just2Trade, a division of Success Trade Securities has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make publicly available quarterly reports on their order routing practices. The report provides information on routing of "nondirected orders" - any order that the customer has not specifically instructed to be routed to a particular venue on behalf of its customers.

The report is divided into four sections: one for securities listed on the New York Stock Exchange and reported as a Network A eligible security; one for securities listed on the Nasdaq Stock Market; one for securities listed on the American Stock Exchange or regional exchanges and reported as a Network B eligible security; and one for exchange-listed options. For each section, this report identifies the venues most often selected by Just2Trade, sets forth the percentage of various types of orders routed to the venues, and discusses the material aspects of Just2Trade's relationship with the venues.

### Sec Rule 606 Report New York Stock Exchange

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	28.91%
Limit orders as percentage of total non-directed orders	67.28%
Other orders as percentage of total non-directed orders	3.81%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

CITIGROUP GLOBAL MARKETS	9.30%
CHICAGO STOCK EXCHANGE	25.32%
DOMESTIC SECURITIES	2.83%
E*TRADE CAPITAL MARKETS	37.76%
HUDSON SECURITIES	0.05%
MURPHY & DURIEU	4.69%
UBS CAPITAL MARKETS	20.04%

### Information Concerning Significant Venues:

#### 1. CITIGROUP GLOBAL MARKETS

##### Types of Orders Routed to Venue:

Market orders as a percentage of total market orders	10.65%
Limit orders as a percentage of total limit orders	7.95%
Other orders as a percentage of total other orders	22.93%

### **Material Aspects of Relationship with Venue:**

Success Trade Securities does not receive any payment for orders routed to Citigroup Global Markets.

## **2. CHICAGO STOCK EXCHANGE**

### **Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	0.00%
Limit orders as a percentage of total limit orders	37.63%
Other orders as a percentage of total other orders	0.00%

### **Material Aspects of Relationship with Venue:**

Success Trade Securities receives payment for directing order flow to Chicago Stock Exchange (CHX). Payment is based on size of executed order and whether or not the order has added liquidity to the CHX Matching System. Payment for qualifying orders during this period was \$2.90 per thousand shares executed on Tape A & C issues and \$3.00 per thousand shares executed on Tape B issues.

## **3. DOMESTIC SECURITIES**

### **Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	3.63%
Limit orders as a percentage of total limit orders	2.49%
Other orders as a percentage of total other orders	2.81%

### **Material Aspects of Relationship with Venue:**

Success Trade Securities does not receive any payment for orders routed to Domestic Securities.

## **4. E\*TRADE CAPITAL MARKETS**

### **Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	52.43%
Limit orders as a percentage of total limit orders	31.05%
Other orders as a percentage of total other orders	45.03%

### **Material Aspects of Relationship with Venue:**

Success Trade Securities receives payment for directing equity order flow to E\*Trade Capital Markets. Payment is based on size of executed order.

## **5. HUDSON SECURITIES**

### **Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	0.08%
Limit orders as a percentage of total limit orders	0.04%
Other orders as a percentage of total other orders	0.12%

### **Material Aspects of Relationship with Venue:**

Success Trade Securities does not receive any payment for orders routed to Hudson Securities.

## **6. MURPHY & DURIEU**

### **Types of Orders Routed to Venue:**

Market orders as a percentage of total market orders	7.19%
Limit orders as a percentage of total limit orders	3.52%
Other orders as a percentage of total other orders	6.29%

### **Material Aspects of Relationship with Venue:**

Success Trade Securities does not receive any payment for orders routed to Murphy & Durieu.

## 7. UBS CAPITAL MARKETS

### Types of Orders Routed to Venue:

Market orders as a percentage of total market orders	26.01%
Limit orders as a percentage of total limit orders	17.31%
Other orders as a percentage of total other orders	22.81%

### Material Aspects of Relationship with Venue:

Success Trade Securities does not receive any payment for orders routed to UBS Capital Markets.

## Sec Rule 606 Report

### NASDAQ Stock Market

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	27.92%
Limit orders as percentage of total non-directed orders	67.84%
Other orders as percentage of total non-directed orders	4.24%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

CITIGROUP GLOBAL MARKETS	5.89%
CHICAGO STOCK EXCHANGE	19.80%
DOMESTIC SECURITIES	2.48%
ETRADE	37.52%
HUDSON	1.45%
NASDAQ	9.23%
SUNGARD	4.22%
UBSS	19.41%

### Information Concerning Significant Venues:

#### 1. CITIGROUP GLOBAL MARKETS

##### Types of Orders Routed to Venue:

Market orders as a percentage of total market orders	8.54%
Limit orders as a percentage of total limit orders	3.58%
Other orders as a percentage of total other orders	25.35%

##### Material Aspects of Relationship with Venue:

[Success Trade Securities does not receive any payment for orders routed to Citigroup Global Markets.

#### 2. CHICAGO STOCK EXCHANGE

##### Types of Orders Routed to Venue:

Market orders as a percentage of total market orders	0.00%
Limit orders as a percentage of total limit orders	29.19%
Other orders as a percentage of total other orders	0.00%

##### Material Aspects of Relationship with Venue:

Success Trade Securities receives payment for directing order flow to Chicago Stock Exchange (CHX). Payment is based on size of executed order and whether or not the order has added liquidity to the CHX Matching System.

Payment for qualifying orders during this period was \$2.90 per thousand shares executed on Tape A & C issues and \$3.00 per thousand shares executed on Tape B issues.

### 3. DOMESTIC SECURITIES

#### Types of Orders Routed to Venue:

Market orders as a percentage of total market orders	3.53%
Limit orders as a percentage of total limit orders	1.99%
Other orders as a percentage of total other orders	3.32%

#### Material Aspects of Relationship with Venue:

Success Trade Securities does not receive any payment for orders routed to Domestic Securities.

### 4. E\*TRADE CAPITAL MARKETS

#### Types of Orders Routed to Venue:

Market orders as a percentage of total market orders	54.56%
Limit orders as a percentage of total limit orders	30.27%
Other orders as a percentage of total other orders	41.38%

#### Material Aspects of Relationship with Venue:

Success Trade Securities receives payment for directing equity order flow to E\*Trade Capital Markets. Payment is based on size of executed order.

### 5. HUDSON SECURITIES

#### Types of Orders Routed to Venue:

Market orders as a percentage of total market orders	1.97%
Limit orders as a percentage of total limit orders	1.23%
Other orders as a percentage of total other orders	1.57%

#### Material Aspects of Relationship with Venue:

Success Trade Securities does not receive any payment for orders routed to Hudson Securities

### 6. NASDAQ

#### Types of Orders Routed to Venue:

Market orders as a percentage of total market orders	0.00%
Limit orders as a percentage of total limit orders	13.61%
Other orders as a percentage of total other orders	0.00%

#### Material Aspects of Relationship with Venue:

Success Trade Securities receives payment for directing order flow to NASDAQ. Payment is based on size of executed order and whether or not the order has added liquidity to the NASDAQ order book. Payment for qualifying orders during this period was \$2.00 per thousand shares executed.

### 7. MURPHY & DURIEU

#### Types of Orders Routed to Venue:

Market orders as a percentage of total market orders	7.54%
Limit orders as a percentage of total limit orders	2.85%
Other orders as a percentage of total other orders	4.24%

#### Material Aspects of Relationship with Venue:

Success Trade Securities does not receive any payment for orders routed to Murphy & Durieu.

## 8. UBS CAPITAL MARKETS

### Types of Orders Routed to Venue:

Market orders as a percentage of total market orders	23.85%
Limit orders as a percentage of total limit orders	17.28%
Other orders as a percentage of total other orders	24.15%

### Material Aspects of Relationship with Venue:

Success Trade Securities does not receive any payment for orders routed to UBS Capital Markets.

## Sec Rule 606 Report

### American Stock Exchange

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	20.14%
Limit orders as percentage of total non-directed orders	75.02%
Other orders as percentage of total non-directed orders	4.84%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

CITIGROUP GLOBAL MARKETS	10.44%
CHICAGO STOCK EXCHANGE	18.26%
DOMESTIC SECURITIES	2.71%
E*TRADE CAPITAL MARKETS	39.79%
HUDSON SECURITIES	0.03%
MURPHY & DURIEU	3.70%
UBS CAPITAL MARKETS	25.07%

## Information Concerning Significant Venues:

### 1. CITIGROUP GLOBAL MARKETS

#### Types of Orders Routed to Venue:

Market orders as a percentage of total market orders	19.27%
Limit orders as a percentage of total limit orders	7.55%
Other orders as a percentage of total other orders	18.47%

#### Material Aspects of Relationship with Venue:

Success Trade Securities does not receive any payment for orders routed to Citigroup Global Markets.

### 2. CHICAGO STOCK EXCHANGE

#### Types of Orders Routed to Venue:

Market orders as a percentage of total market orders	0.00%
Limit orders as a percentage of total limit orders	24.34%
Other orders as a percentage of total other orders	0.00%

#### Material Aspects of Relationship with Venue:

Success Trade Securities receives payment for directing order flow to Chicago Stock Exchange (CHX). Payment is based on size of executed order and whether or not the order has added liquidity to the CHX Matching System.

Payment for qualifying orders during this period was \$2.90 per thousand shares executed on Tape A & C issues and \$3.00 per thousand shares executed on Tape B issues.

### 3. DOMESTIC SECURITIES

#### Types of Orders Routed to Venue:

Market orders as a percentage of total market orders	2.91%
Limit orders as a percentage of total limit orders	2.42%
Other orders as a percentage of total other orders	6.37%

#### Material Aspects of Relationship with Venue:

Success Trade Securities does not receive any payment for orders routed to Domestic Securities.

### 4. E\*TRADE CAPITAL MARKETS

#### Types of Orders Routed to Venue:

Market orders as a percentage of total market orders	47.40%
Limit orders as a percentage of total limit orders	37.56%
Other orders as a percentage of total other orders	42.68%

#### Material Aspects of Relationship with Venue:

Success Trade Securities receives payment for directing equity order flow to E\*Trade Capital Markets. Payment is based on size of executed order.

### 5. HUDSON SECURITIES

#### Types of Orders Routed to Venue:

Market orders as a percentage of total market orders	0.00%
Limit orders as a percentage of total limit orders	0.04%
Other orders as a percentage of total other orders	0.00%

#### Material Aspects of Relationship with Venue:

Success Trade Securities does not receive any payment for orders routed to Hudson Securities.

### 6. MURPHY & DURIEU

#### Types of Orders Routed to Venue:

Market orders as a percentage of total market orders	4.13%
Limit orders as a percentage of total limit orders	3.57%
Other orders as a percentage of total other orders	3.82%

#### Material Aspects of Relationship with Venue:

Success Trade Securities does not receive any payment for orders routed to Murphy & Durieu.

### 7. UBS CAPITAL MARKETS

#### Types of Orders Routed to Venue:

Market orders as a percentage of total market orders	26.30%
Limit orders as a percentage of total limit orders	24.51%
Other orders as a percentage of total other orders	28.66%

#### Material Aspects of Relationship with Venue:

Success Trade Securities does not receive any payment for orders routed to UBS Capital Markets.

## Sec Rule 606 Report

### Options

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	7.07%
Limit orders as percentage of total non-directed orders	92.93%
Other orders as percentage of total non-directed orders	0.00%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

BARCLAYS CAPITAL	100.00%
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### Information Concerning Significant Venues:

#### 1. BARCLAYS CAPITAL

#### Types of Orders Routed to Venue:

Market orders as a percentage of total market orders	100.00%
Limit orders as a percentage of total limit orders	100.00%
Other orders as a percentage of total other orders	0.00%

#### Material Aspects of Relationship with Venue:

Success Trade Securities receives payment for directing options orders to Barclays Capital. For more information on a particular order please email us at [info@just2trade.com](mailto:info@just2trade.com).